

Electronic Acknowledgement Receipt

EFS ID:	8992404
Application Number:	10689185
International Application Number:	
Confirmation Number:	2702
Title of Invention:	SYSTEM AND METHOD FOR PROVIDING FUTURES CONTRACTS IN A FINANCIAL MARKET ENVIRONMENT
First Named Inventor/Applicant Name:	Michael Sweeting
Customer Number:	63710
Filer:	Mark Adam Miller
Filer Authorized By:	
Attorney Docket Number:	03-6172
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	NPL Documents	03-6172WO_IPER.pdf	167182 e3182f10214279174cdb9d6e7e5c6ddfb7a8e491	no	4

Warnings:

Information:

2	NPL Documents	JP2006536717_101026_OA_wi th_translation.pdf	168416	no	5
			1bbcfb4d661afa85280b0f797f3d9e0a76d56b80		
Warnings:					
Information:					
3	Transmittal Letter	03-6172_101208_IDS.pdf	42901	no	5
			ba9e0f11b09fbab9cf9d8ce8355936d5f9ac9ba3		
Warnings:					
Information:					
4	Information Disclosure Statement (IDS) Filed (SB/08)	03-6172_101208_1449.pdf	26716	no	1
			d16bfa64a9fa9fb27301d2108a70d3a83674a7a2		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
5	Foreign Reference	JP2002063366.pdf	958065	no	16
			35d4cfa23d4141f1973e20aa6d01562706316c8		
Warnings:					
Information:					
6	NPL Documents	03-6172WOEP_090209_OA.pdf	116458	no	4
			b2dbdccc0260a4ef6021dc078d357f48bfc8329		
Warnings:					
Information:					
7	NPL Documents	Market_quality_NPL.pdf	2020943	no	53
			915cc9d572e93fd92e9596484c823f963ec5abc4		
Warnings:					
Information:					
Total Files Size (in bytes):			3500681		

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.